



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIBDATASHEET

CONFIRMATION NO. 7062

Bib Data Sheet

SERIAL NUMBER 09/776,994	FILING DATE 02/05/2001 RULE	CLASS 707	GROUP ART UNIT 2171	ATTORNEY DOCKET NO. 3956-4004
-----------------------------	-----------------------------------	--------------	------------------------	----------------------------------

APPLICANTS

Theodore W. Frank, Chagrin Falls, OH;

Gary M. Fingerhut, Solon, OH;
Ganesh Laxminarayan, Beachwood, OH;** CONTINUING DATA *None fil*** FOREIGN APPLICATIONS *None fil*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** SMALL ENTITY **

** 03/30/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS	TOTAL	INDEPENDENT
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	OH	DRAWING 8	CLAIMS 67	CLAIMS 21
Verified and Acknowledged	Examiner's Signature <i>Alie</i> Initials <i>fil</i>				

ADDRESS

MORGAN & FINNEGAN, L.L.P.

345 Park Avenue

New York , NY

10154

TITLE

Method and system for compliance management

FILING FEE	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
RECEIVED 1498		